



NASA Certifications, Assurances, and Representations

Per Appendix A, Certifications, Assurances, and Representations, of the [NASA Grant and Cooperative Agreement Manual](#) (GCAM), all grant and cooperative agreement recipients are required to attest to the certifications below at application and annually thereafter. Please read and sign the form and return to the NASA Shared Services Center at NSSC-grant-administration@mail.nasa.gov. Please include "New Certifications" in the email subject line.

1. Certification of Compliance on Proposal Cover Page

By submitting the proposal identified in the Cover Sheet/Proposal Summary in response to this Notice of Funding Opportunity (NOFO), the Authorizing Official of the proposing organization (or the individual Proposer if there is no proposing organization) as identified below—

- (a) Certifies that the statements made in this proposal are true and complete to the best of their knowledge;
- (b) Agrees to accept the obligation to comply with NASA award [terms and conditions](#) if an award is made as a result of this proposal; and
- (c) Confirms compliance with all applicable terms and conditions, rules, and stipulations set forth in the Certifications, Assurances, and Representations contained in this NOFO. Willful inclusion of false information in this proposal and/or its supporting documents, or in reports required under an ensuing award, is a criminal offense ([U.S. Code, Title 18, Section 1001](#)).

2. Certification Regarding Lobbying

No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit [Standard Form-LLL](#), "Disclosure Form to Report Lobbying," in accordance with its instructions.

The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subawards, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose

accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section [1352, title 31, U.S. Code](#). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000 for each such failure.

3. Assurance and Representation on China Restrictions Set Forth in Section 526 of PL 117-103, and All Applicable Subsequent Appropriations Acts.

By submission of its proposal, the proposer represents that the proposer is not China or a Chinese-owned company, and that the proposer will not participate, collaborate, or coordinate bilaterally with China or any Chinese-owned company, at the prime recipient level or at any subrecipient level, whether the bilateral involvement is funded or performed under a no-exchange-of-funds basis, except to the extent NASA has notified the grant proposer that NASA has sought a waiver for such activities pursuant to P.L. 118-42 Sec. 526.

4. Representation Regarding Whistleblower Protection as provided in PL 113-235 Title VII section 743, all subsequent appropriation acts, and 10 U.S.C. § 4701

A corporation or prospective recipient represents that employees or contractors of such entity seeking to report fraud, waste, or abuse are NOT required to sign internal confidentiality agreements or statements prohibiting or otherwise restricting such employees or contractors from lawfully reporting such waste, fraud, or abuse to a designated investigative or law enforcement representative of a Federal department or agency authorized to receive such information. Additionally, the corporation or prospective recipient represents that an employee of a contractor, subcontractor, grantee, or subgrantee or personal services contractor may not be discharged, demoted, or otherwise discriminated against as a reprisal for disclosing to a person or body described in paragraph two of [10 U.S.C. 4701](#) information that the employee reasonably believes is evidence of gross mismanagement of a National Aeronautics and Space Administration contract or grant, a gross waste of Administration funds, an abuse of authority relating to an Administration contract or grant, or a violation of law, rule, or regulation related to an Administration contract (including the competition for or negotiation of a contract) or grant. A person who believes that the person has been subjected to a reprisal prohibited by 10 U.S.C. 4701 subsection (a) may submit a complaint to the [Inspector General of the National Aeronautics and Space Administration](#).

5. Certification of Compliance with 2 CFR 175 Award Term for Trafficking in Persons

If the estimated value of services required to be performed under this grant or cooperative agreement outside the United States exceeds \$500,000, then the authorizing official certifies that their organization meets the following requirements prior to receiving a grant or cooperative agreement from NASA:

- (a) The recipient has implemented a plan to prevent the activities described in [2 CFR § 175.105\(a\)](#), Statutory requirement, and is in compliance with the plan;
- (b) The recipient has implemented procedures to prevent any activities described in 2 CFR § 175.105(a), Statutory requirement, and to monitor, detect, and terminate any subrecipient, contractor, subcontractor, or employee of the recipient engaging in any activities described in § 175.105(a); and
- (c) To the best of the recipient’s knowledge, neither the recipient, nor any subrecipient, contractor, or subcontractor of the recipient or any agent of the recipient or of such a subrecipient, contractor, or subcontractor, is engaged in any of the activities described in 2 CFR § 175.105(a), Statutory requirement.

See 2 CFR 175 for a description of the minimum requirements for a plan to prevent trafficking in persons.

6. Certification Regarding Disclosure Requirements in the *William M. (Mac) Thornberry National Defense Authorization Act for Fiscal Year 2021*, Section 223(a)(1) (42 U.S.C. § 6605(a)(1)).

By submission of its proposal, the proposing entity’s Authorized Organizational Representative certifies that the entity is in compliance with the *William M. (Mac) Thornberry National Defense Authorization Act for Fiscal Year 2021*, Section 223(a)(1) ([42 U.S.C. § 6605\(a\)\(1\)](#)), and that each senior/key person that is employed by the entity and listed on the application has been made aware of the requirements under Section 223(a)(1). Section 223(a)(1) provides that “each covered individual listed on the application— (A) disclose the amount, type, and source of all current and pending research support received by, or expected to be received by, the individual as of the time of the disclosure; (B) certify that the disclosure is current, accurate, and complete; and (C) agree to update such disclosure at the request of the agency prior to the award of support and at any subsequent time the agency determines appropriate during the term of the award.”

7. Certification Regarding Malign Foreign Talent Recruitment Programs per the *CHIPS and Science Act of 2022*, Section 10632 (42 U.S.C. § 19232).

Per Section 10632 of the CHIPS and Science Act of 2022 ([42 U.S.C. § 19232](#)), the entity’s Authorized Organizational Representative certifies that each covered individual that is employed by the entity and listed on the application has been made aware of and has complied with their responsibility under that section to certify that they are not a party to a malign foreign talent recruitment program.

Institution: _____

Authorizing Official (AO) Printed Name: _____

AO Signature: _____

Date: _____ **Phone:** _____

Email: _____ **UEI Number:** _____

CAGE Code: _____